

This brochure supplement provides information about Daniel Da Ponte that supplements the Axis Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Daniel Da Ponte, President & Chief Compliance Officer if you did not receive Axis Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel Da Ponte is also available on the SEC's website at www.adviserinfo.sec.gov.

Axis Advisors, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Daniel Da Ponte

Personal CRD Number: 4351051
Investment Adviser Representative

Axis Advisors, LLC
197 Warren Avenue, Suite 203
East Providence, Rhode Island, 02914
(401) 441-5111
(866) 441-8095 Fax
ddaponte@axisadvisorsgroup.com
www.axisadvisorsgroup.com
www.danieldaponte.com

UPDATED: 3/13/2017

Item 2: Educational Background and Business Experience

Name: Daniel Da Ponte

Born: 1978

Education Background:

Education:

BS Business Administration, University of Rhode Island - 2000

Graduate Certificate in Personal Financial Planning, Kansas State University - 2009

Master's Degree in Personal Financial Planning, Kansas State University - 2014

Designations:

Accredited Investment Fiduciary® (AIF®)

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The e Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Business Background:

| | |
|-------------------|--|
| 03/2013 - Present | Managing Member Axis Risk Management LLC |
| 03/2013 - Present | President & Chief Compliance Officer Axis Advisors, LLC |
| 01/1999 - Present | State Senator State of Rhode Island |
| 10/2005 - 03/2013 | Registered Representative Axis Financial Group Inc. |

05/2005 – 03/2013

Registered Representative
Commonwealth Financial Network

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Daniel Da Ponte is a licensed insurance agent. He is also a Senator in the state of Rhode Island. From time to time, he will offer clients advice or products from those activities. Clients should be aware that insurance services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Axis Advisors, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Axis Advisors, LLC in such individual's outside capacities.

Daniel Da Ponte has been elected to the Rhode Island FPA Board of Directors.

Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Daniel Da Ponte does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Axis Advisors, LLC.

Item 6: Supervision

As the only owner and representative of Axis Advisors, LLC, Daniel Da Ponte supervises all duties and activities of the firm. Daniel Da Ponte's contact information is on the cover page of this disclosure document. Daniel Da Ponte adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

Item 7: Requirements For State Registered Advisers

This disclosure is required by Rhode Island securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Daniel Da Ponte has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. Daniel Da Ponte has NOT been the subject of a bankruptcy petition in the past ten years.

This brochure supplement provides information about Joshua Sousa Pacheco that supplements the Axis Advisors LLC brochure. You should have received a copy of that brochure. Please contact Joshua Sousa Pacheco if you did not receive Axis Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Joshua Sousa Pacheco is also available on the SEC's website at www.adviserinfo.sec.gov.

Axis Advisors LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Joshua Sousa Pacheco

Personal CRD Number: 5865407

Investment Adviser Representative

Axis Advisors LLC
909 State Road
Westport, MA 02790
(508) 444-0581
(866) 441-0754 fax
(866) 441-0324 toll free

www.massadvisor.com

www.axisadvisorsgroup.com.

josh@axisadvisorsgroup.com

UPDATED: 12/18/2014

Item 2: Educational Background and Business Experience

Name: Joshua Sousa Pacheco **Born:** 1985

Educational Background and Professional Designations:

Education:

Masters of Liberal Arts Management Studies, Harvard University - 2014
Bachelor of Science Finance, UMass Dartmouth - 2009

Business Background:

| | |
|-------------------|--|
| 09/2014 - Present | Investment Adviser Representative Axis Advisors LLC |
| 11/2014 - Present | Registered Representative Innovation Partners, LLC |
| 06/2012 - 09/2014 | Registered Representative Lincoln Investment |
| 07/2011 - 06/2012 | Registered Representative Capital Analysts |
| 03/2011 - 05/2011 | Cold caller Harvest Financial Group |
| 11/2010 - 01/2011 | Financial Advisor Trainee Merrill Lynch |
| 03/2010 - 11/2010 | Sales Consultant Ashley Ford |
| 09/2001 - 05/2005 | Student Bishop Stang High School |

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Joshua Sousa Pacheco is a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that insurance services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Axis Advisors, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Axis Advisors, LLC in such individual's outside capacities.

Joshua Sousa Pacheco is a registered representative. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Axis Advisors LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of Axis Advisors LLC in such individual's outside capacities.

Item 5: Additional Compensation

Joshua Sousa Pacheco does not receive any economic benefit from any person, company, or organization, other than Axis Advisors LLC in exchange for providing clients advisory services through Axis Advisors LLC.

Item 6: Supervision

As a representative of Axis Advisors LLC, Joshua Sousa Pacheco works closely with supervisor Daniel Da Ponte, and all advice provided to clients is reviewed by this supervisor prior to implementation. Daniel Da Ponte can be reached at (401) 441-5111. Joshua Sousa Pacheco adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

Item 7: Requirements For State Registered Advisers

This disclosure is required by Massachusetts and Rhode Island state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Joshua Sousa Pacheco has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. Joshua Sousa Pacheco has NOT been the subject of a bankruptcy petition in the past ten years.

This brochure supplement provides information about Jane E McAuliffe that supplements the Axis Advisors LLC brochure. You should have received a copy of that brochure. Please contact Jane E McAuliffe if you did not receive Axis Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Jane E McAuliffe is also available on the SEC's website at www.adviserinfo.sec.gov.

Axis Advisors LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Jane E McAuliffe

Personal CRD Number: 3031341

Investment Adviser Representative

Axis Advisors LLC
197 Warren Avenue, Suite 203
East Providence, RI02914
(401) 441-5111
jmcauliffe@axisadvisorsgroup.com

UPDATED: 06/26/2017

Item 2: Educational Background and Business Experience

Name: Jane E McAuliffe **Born:** 1973

Educational Background and Professional Designations:

Education:

Bachelor of Science Management, Bryant University - 1995

Designations:

CDFA®- Certified Divorce Financial Analyst

MINIMUM QUALIFICATIONS

- In order to be considered for this designation, a professional must have at least two years experience in the financial or legal industry.
- Must complete a series of four examinations based upon material learned from four self-study courses. Coursework outlines several key areas important in divorce proceedings; including the treatment of property during divorce, alimony and child support, and tax implications of property division. The entire program generally takes at least 4 months to complete.

Business Background:

| | |
|-------------------|--|
| 06/2017 - Present | Investment Adviser Representative Axis Advisors LLC |
| 07/2015 - 06/2017 | Financial Advisor Forbes Financial Planning Inc. |
| 07/2013 - 06/2015 | Sales and Marketing The Shuster Group |
| 02/2009 - 07/2013 | Homemaker Home |
| 10/2004 - 01/2009 | Registered Representative Bank of America Investment Services |

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

As a Certified Divorce Financial Analyst, Jane McAuliffe provides financial Planning, analysis, accounting and financial advisory in divorce proceedings. She provides these services separately through Collaborative Divorce Strategies. Clients of Axis Advisors LLC are in no way required to use the services of Ms. McAuliffe in her outside capacity.

Item 5: Additional Compensation

Jane E McAuliffe does not receive any economic benefit from any person, company, or organization, other than Axis Advisors LLC in exchange for providing clients advisory services through Axis Advisors LLC.

Item 6: Supervision

As a representative of Axis Advisors LLC, Jane E McAuliffe is supervised by Daniel Da Ponte, the firm's Chief Compliance Officer. Daniel Da Ponte is responsible for ensuring that Jane E McAuliffe adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Daniel Da Ponte is (401) 441-5111.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Jane E McAuliffe has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;

- b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
- a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Jane E McAuliffe has NOT been the subject of a bankruptcy.